### COMMON APPLICATION FORM

**DISTRIBUTOR INFORMATION**

<table>
<thead>
<tr>
<th>Name &amp; ARN of Distributor / RIA Code</th>
<th>Internal Sub-Broker Code (as allotted by Distributor)</th>
<th>Sub-Broker ARN Code No.</th>
<th>Employee Unique Identification No. (EUIN)^</th>
</tr>
</thead>
<tbody>
<tr>
<td>146892</td>
<td></td>
<td></td>
<td>E</td>
</tr>
</tbody>
</table>

^Optional: Furnishing of EUIN is mandatory for all transactions (Purchase/Switch/SIP/STP) or following declaration should be signed by the investor (Please tick the box).

1. **Mode of Payment**
   - Direct
   - Regular

2. **Occupation of the 1st Applicant**
   - Resident Individual
   - Non-Resident
   - Joint
   - Either or Survivor/s
   - Others (if specify)

3. ** Txn. Purpose**
   - Scheme Name
   - Plan (Pls. tick)
   - Option
   - Sub-Option

4. **EXISTING UNIT Holder’S INFORMATION**

<table>
<thead>
<tr>
<th>Folio No.</th>
<th>TRANSACTION CHARGES (Please refer to instructions / KIM and tick any one)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>I/We am/are a First Time Investor in Mutual Fund Industry. (Rs 150 will be deducted.)</td>
</tr>
<tr>
<td></td>
<td>I/We am/are an Existing Investor in Mutual Fund Industry. (Rs 100 will be deducted.)</td>
</tr>
</tbody>
</table>

5. **CONTACT DETAILS OF SOLE/ FIRST APPLICANT**

<table>
<thead>
<tr>
<th>Correspondence Address</th>
<th>Overseas Address (Mandatory for NRI/ FPI Applicants)**</th>
</tr>
</thead>
<tbody>
<tr>
<td>City/Town</td>
<td>City/ Town State</td>
</tr>
<tr>
<td>Country</td>
<td>Country</td>
</tr>
<tr>
<td>Mobile No.</td>
<td>Tel. No.</td>
</tr>
<tr>
<td>Email ID</td>
<td>+ Require Hard Copy of Annual Report</td>
</tr>
</tbody>
</table>

6. **ACCOUNT DETAILS**

<table>
<thead>
<tr>
<th>Account No.:</th>
<th>Repeat Bank Account No.:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Branch Name</td>
<td>A/c. Type (*): SB</td>
</tr>
<tr>
<td>Branch Address</td>
<td>MICR Code</td>
</tr>
</tbody>
</table>

^Optional: Furnishing of EUIN is mandatory for all transactions (Purchase/Switch/SIP/STP) or following declaration should be signed by the investor (Please tick the box).
7. FATCA and CRS DETAILS For Individuals (Mandatory) Non Individual Investors should mandatorily fill separate FATCA/CRS details form

- Please indicate the Country in which you are a resident for tax purpose, associated Taxpayer Identification Number and its Identification type eg. TIN etc.

## Sole/First Applicant/Guardian

<table>
<thead>
<tr>
<th>Country*</th>
<th>Tax Payer Ref. ID No*</th>
<th>Identification Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Country of Birth</td>
<td>Tax Payer Ref. ID No</td>
<td>Identification Type</td>
</tr>
</tbody>
</table>

## Second Applicant

<table>
<thead>
<tr>
<th>Country*</th>
<th>Tax Payer Ref. ID No*</th>
<th>Identification Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Country of Nationality</td>
<td>Tax Payer Ref. ID No</td>
<td>Identification Type</td>
</tr>
</tbody>
</table>

## Third Applicant

<table>
<thead>
<tr>
<th>Country*</th>
<th>Tax Payer Ref. ID No*</th>
<th>Identification Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Country of Nationality</td>
<td>Tax Payer Ref. ID No</td>
<td>Identification Type</td>
</tr>
</tbody>
</table>

* If the applicant is a Non Individual, please attach FATCA, CRS & UBO Self Certification Form.

Note:

- If the name does not match with PAN copy, the application will be rejected.
- It is mandatory for investors to be KYC compliant prior to investing.

8. INVESTMENT AND PAYMENT DETAILS

- For each application and for each plan/option, separate cheque/DD to be submitted.
- NRE/NRO account may be debited to NRE/NRO account or direct remittance from abroad. Please indicate the mode.

9. DEMAT ACCOUNT DETAILS

- Please ensure that the name of the Demat Account is the same as mentioned in the application form.

10. POWER OF ATTORNEY (PoA) HOLDER’S / PERMITTED THIRD PARTY’S (WHO IS ISSUING THE CHEQUE) DETAILS

- The relationship of the First Applicant with the third-party payee is as follows:

11. NOMINATION DETAILS

- Please indicate the relationship of the nominee(s) with the first holder.

12. LIST OF DOCUMENTS ATTACHED (Mandatory)

- Please mention the details of documents (other than cheque/DD) attached with the form.

13. DECLARATION & SIGNATURES

- Having read and understood the contents of the Scheme Information Document, the scheme for investment, and subsequent amendments thereto, I/We hereby apply to the Trustee of JM Financial Mutual Fund for units of the Scheme.

Note:

- In case the First Applicant is a Non Individual, please attach FATCA, CRS & UBO Self Certification Form.
- The application is liable for rejection if the name does not match with PAN copy.
- It is mandatory for investors to be KYC compliant prior to investing in JM Financial Mutual Fund.
- US and Canada investors are not permitted to invest in our schemes. In case, not ticked, it will be considered as Not Applicable.
### PART B: TO BE USED BY INVESTORS ONLY IN CASE OF SIP/STP/SPW

<table>
<thead>
<tr>
<th>Facility (Please ✓)</th>
<th>Name of the Scheme / (Please Mention)</th>
<th>Plan (Please ✓)</th>
<th>Option (Pls mention)</th>
<th>Sub-Option (Please ✓ in case of IDCW)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SIP</td>
<td>JM</td>
<td>Direct</td>
<td>Payout</td>
<td></td>
</tr>
<tr>
<td>STP</td>
<td>From - JM</td>
<td>Direct</td>
<td>Payout</td>
<td></td>
</tr>
<tr>
<td>SWP</td>
<td>CAW (Capital Appreciation Withdrawal)</td>
<td>Direct</td>
<td>Payout</td>
<td></td>
</tr>
<tr>
<td></td>
<td>FAW (Fixed Amount Withdrawal)</td>
<td>Direct</td>
<td>Payout</td>
<td></td>
</tr>
</tbody>
</table>

Please select and tick any of the due dates from the below table against the facility being chosen by you.

<table>
<thead>
<tr>
<th>Facility (Please ✓)</th>
<th>Daily (Please ✓)</th>
<th>Weekly (Please ✓)</th>
<th>Fortnightly (Please ✓)</th>
<th>Monthly** (Please ✓)</th>
<th>Quarterly (Please ✓)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SIP</td>
<td>Not Available</td>
<td>1st 8th 15th</td>
<td>1st 15th</td>
<td>1st 5th</td>
<td>1st next month &amp; every quarter thereafter</td>
</tr>
<tr>
<td>STP</td>
<td>Daily (Chhota STP/Combo SIP)</td>
<td>22nd of the month</td>
<td>15th of the month</td>
<td>10th 15th</td>
<td></td>
</tr>
<tr>
<td>SWP</td>
<td>Not Available</td>
<td>Not Available</td>
<td>Not Available</td>
<td>20th 25th of the month</td>
<td></td>
</tr>
</tbody>
</table>

*Not Applicable for SWP under CAW since capital appreciation will automatically be withdrawn as per terms & conditions of this facility. ** First of the month will be the default frequency if not ticked.

### 15. Name of Document Attached for MICRO SIP


### 16. DECLARATION & SIGNATURES

**Please strike out whichever is not applicable.**

**Applicable for SIP Investors only:** I/we hereby declare that the particulars given above are correct and express my/our willingness to make payments referred above through participation in NACH / Direct Debit or Standing Instruction Clearance. In case the transaction is delayed or affected at all, for reasons of incomplete or incorrect information on my/our part or circumstances beyond the control of the AMC/s its service provider, I/we would not hold the Asset Management Company or its associates/vendors responsible in any manner. I We hereby authorize JM Financial Mutual Fund and their authorized service providers, to get my/our above bank account debited by NACH / Direct Debit /Standing Instruction towards the collection of payments on due SIP dates as opted by me/us. In the event of any changes in the bank particulars, I/we will submit a fresh mandate along with a cancellation request for the earlier mandate well in advance. I/We understand and agree to the terms & conditions for SIP Pause facility in case I/We opt for the same anytime. I/We have read and agreed to the terms and conditions mentioned in KIM / Scheme Information Document of the scheme.

**Consent for sharing Information:** I/We hereby consent to the disclosure/sharing of my/our personal information to the Judicial /Statutory /Regulatory Authorities for the compliance of legal obligation of JM Financial AMC/JM Financial Mutual Fund/JM Financial Trustee Co. Pvt. Ltd. I/We also consent to the sharing of the transaction feed of my/our Investment in the above Scheme of JM Financial Mutual Fund with the Registered Investment Advisor (RIA)/Distributor whose RIA/ARN Code is mentioned above.

### Signature of Sole/First Applicant/Guardian

Signature of Second Applicant

Signature of Third Applicant

Date: ____________________  Place: ____________________

**Applicable for Lumpsum Additional Purchases as well as SIP Registrations**

**DEBIT MANDATE FORM NACH**

<table>
<thead>
<tr>
<th>UMRN:</th>
<th>Date:</th>
<th>Utility Code:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>ICIC0026100001992</td>
</tr>
</tbody>
</table>

**Tick (✓) CREATE MODIFY CANCEL**

**Bank a/c number:**

**IFSC or MICR**

**amount of Rupees**

**FREQUENCY**

<table>
<thead>
<tr>
<th>Monthly</th>
<th>Daily</th>
<th>Weekly</th>
<th>fortnightly</th>
<th>Monthly**</th>
<th>Quarterly</th>
</tr>
</thead>
<tbody>
<tr>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Debit Type**

<table>
<thead>
<tr>
<th>Debit Type</th>
<th>Maximum Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fixed Amount</td>
<td>✓</td>
</tr>
</tbody>
</table>

**Reference 1**

<table>
<thead>
<tr>
<th>Date No.</th>
<th>Phone No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Optional</td>
<td>Optional</td>
</tr>
</tbody>
</table>

**Reference 2**

<table>
<thead>
<tr>
<th>Date No.</th>
<th>Email ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>Optional</td>
<td>Optional</td>
</tr>
</tbody>
</table>

**PERIOD**

<table>
<thead>
<tr>
<th>From</th>
<th>to</th>
<th>or Until Cancelled</th>
</tr>
</thead>
</table>

**Signature of Account Holder**

1. Name as in Bank Record
2. Name as in Bank Record
3. Name as in Bank Record

• This is to confirm that the declaration has been carefully read, understood & made by me/us. I am/We are authorizing the user entity / corporate to debit my/our account.

• I/We have understood that I am/we are authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/corporate or the bank where I/We have authorized the debit.
1. Eligibility for DD charges is as under:

- The DD charges will be payable only if the amount payable is to be refunded and the money will be refunded without any interest thereon. Any application may be accepted or rejected at the discretion of the AMC and no representation in this regard shall be entertained.

2. Mode of Payment:

- The Cheque/DD should be drawn in favour of the Asset Management Company (AMC) of the respective scheme for example in case of JM Equity Fund cheque should be endorsed upon the cheque in favour of JM Financial Mutual Fund Ltd., a company registered with SEBI.

- Cheque/DD should be drawn payable to the nearest branch of the bank where the AMC does not have a branch. Cheques/DD drawn on a Bank not participating in the Clearing House will not be accepted.

- Any amount payable to a bank which is not a Clearing Member bank will be regarded as a benefit of DD charges.

- Cheques/DD should be drawn on a Bank not participating in the Clearing House will not be accepted.

- The Cheque/DD should be drawn in the form of 'cheque/DD only' and not accompanied by any covering letter.

- The Cheque/DD should be drawn payable to the AMC, AMC Reserve the right to use the cheque/DD for the transaction is completed successfully.

- The application form number should be mentioned on the reverse of the cheque/DD on the left side of the page opposite the signature of the investor.

- Cheques/DD drawn on a bank not participating in the Clearing House will not be accepted.

- Cheque/DD should be drawn payable only for the amount payable.

3. Signature(s) may be in English or in any of the Indian languages governed by the Indian Law.

- The application form will be subject to the following terms and conditions:

- Eligibility for DD charges

- The Cheque/DD should be in favour of JM Equity Fund and crossed “Account Payee Only”.

- Third Party Payments are not acceptable and the application is liable to be rejected.

- Cheque/DD should be signed by the investor. Cheques/DD should be drawn payable to the AMC, AMC Reserve the right to use the cheque/DD for the transaction is completed successfully.

- In case of allotment, the AMC reserves the right to revert & reprocess the transactions.

- In case of multiple nominations in respect of the units stands rescinded upon the transfer of the units to an eligible nominee.

- Nomination in respect of the units held by an eligible nominee shall be subject to the following terms and conditions:

- The AMC reserves the right to use the cheque/DD for the transaction is completed successfully.

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- The AMC reserves the right to use the cheque/DD for the transaction is completed successfully.

- The application form will be subject to the following terms and conditions:
above will be deducted from the subscription amount and paid to the distributors’ agent of the investor and the balance shall be invested. Transaction charges (Rs. 150/- or Rs. 100/- as may be applicable) in case of investments through Systematic Investment Plan (SIP) shall be deducted only if the total commitment (i.e. amount per SIP installment x no. of SIP installments) in a single scheme is more than Rs. 10,000/- or more. The Transaction Charges shall be credited in 4 installments. Investors may note that distributors have an option to opt in or opt out of charging the transaction charges.

Transaction charges shall not be deducted for:
(a) purchases/subscriptions for an amount less than Rs. 10,000/-;
(b) transaction other than purchases/subscriptions relating to new inflows such as Switch, STP, etc.
(c) purchases/subscriptions made directly with the Fund (i.e. not through any distributor/agent).

(iii) Transactions where the concerned distributor has not opted in for transaction charges.
(a) Done for units held in demat form.
(b) It is also clarified that minimum investment criteria shall be monitored at the gross investment amount level (i.e. amount before deducted transaction charges).

DIRECT PLAN
We refer to paragraph 4(a) of our circular dated 15th January, 2011, the investor has the option to invest under the Direct Plan of any of our open-ended Schemes to avail the benefit of lower expense ratio for which investor must clearly write the word “Direct” under the column “Plan/Agency/Other” (as the case may be) in the name specified in the place.

The existing investors may switch their current holdings in the Existing Plan into the respective/other options of the Direct Plan of the same/similar scheme(s) (subject to the applicable exit load/fee etc. STP etc) by submitting the switch request duly signed by the respective investor.

For detailed information, Investor may refer to the KIM (Key Information Memorandum) of the Scheme.

In terms of Best Practice Circular no. 20/10-11 dated February 9, 2011, following provisions are applicable w.e.f. April 1, 2011:

1. On “Benefit of Minor” Accounts: Where the account/folio (account is so declared) is held in the name of a minor:
(a) The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
(b) The transactions in the folio of the minor shall either be notarized by a natural guardian (i.e. father or mother) or a court appointed legal guardian.

Information on the relationship/status of the guardian as father, mother or legal guardian has to be provided to the AMC/ Registrar of the JMF Mutual Fund Plan ("the Registrar"). If the documents mentioned in clause (c) below do not provide information evidencing the relationship of natural guardian to the minor, separate documents establishing the relationship have to be submitted. In case of court appointed legal guardian, supporting documentary evidence should be submitted.

(c) Date of birth of the minor along with photocopy of supporting documents as enumerated below shall be mandatory while opening the account on behalf of a minor:

1. Birth certificate of the minor;
2. School/Institution Mark Sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc.;
3. Passport of the minor;
4. Any other supporting proof evidencing the date of birth of the minor.

2. Minor Attaining Majority: Status Change
(a) Prior to minor attaining majority, the AMC/ Registrar will send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with supporting documents (as per as per (c) above) to change the status of the account to “major”.
(b) The account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no transactions shall be permitted till the documents for changing the status are received. However, the AMC/ Registrar will continue to credit the existing standing instructions like SIP, STP, SWP registered prior to the minor attaining majority and send a intimation to that effect.

(c) In case of existing standing instructions including SIP, STP and SWP registered prior to the minor attaining majority, the AMC will send an advance notice to the registered correspondence address advising the guardian and the minor that the existing standing instructions will cease to be operational beyond the date of the minor attaining majority till the time a instruction from the major to terminate the standing instructions is received by the mutual fund along with the below mentioned documentation:

1. Services Request Form, duly filled and containing details like name of major, folio numbers, etc.
2. Bank mandate account where changes to account is minor to major.
3. Signature attestation of the major by a manager of a scheduled bank / Bank Certificate / Letter
4. KYC acknowledgement of the major.

The standing instructions shall be terminated within 30 days from the date of the instruction received.

(d) List of standard documents required to change the account status from minor to major:
1. Services Request form, duly filled and containing details like name of major, folio numbers, etc.
2. Bank mandate where account has been changed from minor to major.
3. Signature attestation of the major by a manager of a scheduled bank / Bank Certificate / Letter
4. KYC acknowledgement of the major.

3. Change in Guardian: When there is a change in guardian either due to mutual consent or demise of existing guardian, following documents should be submitted to the AMC/ Registrar prior to registering the new guardian:
(a) Request letter from the new guardian
(b) KYC of the new guardian
3. A certified copy of Letter existing from Court or Order for new guardian, in case the existing guardian is alive.

(i) Notarized copy or attested copy of the Death Certificate of the deceased guardian, where applicable. The attestation may also be done by a special executive magistrate, AMC authorised official or manager of a scheduled bank.
(ii) The new guardian must be a natural guardian (i.e. father or mother) or a court appointed legal guardian.

1. Information on the relationship/status of the guardian as father, mother or legal guardian should be specified in the application form.
2. In case of natural guardians, a document evidencing the relationship if the same is not available as an attachment and submitted as per sub clause c of clause 1 of this notice – cum – addendum
3. In case of court appointed legal guardian, supporting documentary evidence should be submitted.
4. Bank attestation mentioning the signature of the new guardian in a bank account of the minor where the new guardian is registered as the guardian.

(f) KYC of the new guardian.

4. Nomination facility

1. Nomination should be maintained at the folio or account level and should be applicable for all schemes in the folio or account.
2. Where a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the holder of a joint nomination cannot be signed by Power of attorney (PoA) holders.
3. Every new nomination for a folio/account will overwrite the existing nomination.

5. Nomination shall be mandatory for new folio/accounts opened by individual especially with sole holding and no new folio/accounts in individuals in single holding will be opened without nomination.

1. Even those investors who do not wish to nominate must sign separately confirming their non-intention to nominate.
2. Nomination will not allow a folio held on behalf of a minor.
3. Transmission: We have set out below the list of the documents required for transmission under various situations:

a. Transmission to surviving unit holder in cases of death of one or more unholders:
1. Letter from surviving unitholders to the Fund / AMC / RTA requesting for transmission of units,
2. Death Certificate in original or photocopy duly notarized or attested by gazette officer or a bank manager,
3. Bank Account Details of the new first unit holder as per specified format along with an attestation by a bank branch manager or canceled cheque bearing the account details and account holders name.
4. KYC of the surviving unit holders, if not already available.

b. Transmission to registered nominees in case of death of Sole / All unit holders
1. Letter from claimant nominees to the Fund / AMC / RTA requesting for transmission of units,
2. Death Certificate in original or photocopy duly notarized or attested by gazette officer or a bank manager,
3. Bank Account Details of the new first unit holder as per specified format along with an attestation by a bank branch manager or canceled cheque bearing the account details and account holders name.
4. KYC of the claimant/s,
5. If the transmission amount is Rs One Lakh or more:
   a. Individually duly signed and executed by the nominee/s in the specified format.

c. Transmission to claimant/s, where nominee is not registered, in case of death of Sole or All unit holders:
1. Letter from claimant/s to the Fund / AMC / RTA requesting for transmission of units,
2. Death Certificate in original or photocopy duly notarized or attested by gazette officer or a bank manager,
3. Bank Account Details of the new first unit holder as per specified format along with an attestation by a bank branch manager or canceled cheque bearing the account details and account holders name.
4. KYC of the claimant/s,
5. If the transmission amount is Rs One Lakh or more:
   a. Individually duly signed and executed by the nominee/s in the specified format.

d. Transmission to claimant/s, where nominee is not registered, in case of death of Sole or All unit holders:
1. Letter from claimant/s to the Fund / AMC / RTA requesting for transmission of units,
2. Death Certificate in original or photocopy duly notarized or attested by gazette officer or a bank manager,
3. Bank Account Details of the new first unit holder as per specified format along with an attestation by a bank branch manager or canceled cheque bearing the account details and account holders name.
4. KYC of the claimant/s,
5. If the transmission amount is Rs One Lakh or more:
   a. Individually duly signed and executed by the nominee/s in the specified format.

(e) Transmission charges may be subject to the applicable exit load/taxes e.g. STT etc) by submitting the transmission request duly signed by the respective investor.

Resident Individuals (投资者): Aadhaar is mandatory for all the joint holders in a folio.

Minor: It is optional for minor but mandatory for the Guardian to update his/her Aadhaar number.

PFA holders: Aadhaar of PFA holder is mandatory, if the PFA holder is an individual. If the PFA holder is an Institution, then the Aadhaar is mandatory for all the authorized signatories as per the names in the Authorised Signatory List (ASL) provided that the PFA holder is a statutory body and has to sign and submit transactions on behalf of the institutional investor.

Non-Individuals: It is mandatory for all the authorized signatories to submit Aadhaar details as per the names in the Authorised Signatory List (ASL).

NRIs: Aadhaar link is not applicable.

Existing Individual investors can download and fill “Common Aadhaar Linking form – Individuals” for linking Aadhaar and giving consent to share the Aadhaar details with the RTAs/other AMCs from our website (www.jindalhdfcm戳) / RTAs website (www.karyscom戳) and submit to the nearest Branch of Karvy Computershare Pvt. Ltd. (JMF) Financial Asset Management Ltd. to link their mutual fund folio(s). The Aadhaar numbers of the Mutual Fund folio(s) will be done based on the information submitted by the investor.

7. Non-individual investors will be required to provide the Aadhaar number / copy / proof of enrolment and PAN of authorized signatories in the separate form called “Common Aadhaar Linking form – Non-Individuals” for linking Aadhaar and giving consent to share the Aadhaar details with the RTAs/other AMCs duly counter-signed by the Authorized Official as per the Board Resolution.

8. In case of non-individual investors, if the authentication fails for some of the ASL, then the folio will not be frozen, but the transactions authorized by a signatory whose Aadhaar number does not have the respective officials are successfully validated. Transactions authorized by other official whose Aadhaar is authenticated, will be permitted.

9. Consistent of individuals forming part of ASL is required apart from the consent of the Co- Secretary / Director / Authorized officials of the non-individual investor.

Online Facility for link Aadhaar: Alternatively, investors can use online facility on our RTA website www.karyscom戳 to link their Aadhaar number.
REGISTRATION FORM FOR SYSTEMATIC INVESTMENT PLAN WITH (NACH FORM) AFTER INITIAL PURCHASE/RENEWAL OF SIP

Name & ARN of Distributor: 146822

Internal Sub-Broker Code (as allotted by Distributor): 000000000000000000000000000

Sub-Broker ARN: E

Employee Unique Identification No. (EUI):

\*Mandatory: Furnishing of EUI is mandatory for all transactions (Purchase/Swap/SIP/SIP) or follow-up declaration should be signed by the investor (Please check the box).

Declaration: We hereby confirm that the EUI has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub-broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub-broker.

Signature of Sole/First Applicant/Guardian: 
Signature of Second Applicant: 
Signature of Third Applicant: 

"Upfront commission shall be paid directly by the investor to the AMFI registered Distributor based on the investor's assessment of various factors including the service rendered by the distributor".

SIP (through NACH) REGISTRATION CUM MANDATE FORM

Folio No. (for existing unitholders): 

Name of Sole/1st Applicant/Minor/Non-individual Mr./Ms./M/s. (2nd/3rd Holder/s' name/s will be as per above folio) 

E-mail ID (Capital Letters): 
Mobile No.: 

I/We hereby apply for the following facility under Systematic Investment Facilities (Pl tick only one from each column)

- Regular SIP
- Micro SIP
- Renewal/Continuation of existing SIP

INVESTMENT DETAILS

I/We hereby authorize:

- JM Financial Mutual Fund
- Sponsor Bank Code : ICIC0TREA00
- Frequency - Weekly (Please tick)
- Start Date: 1st of next month & every quarter thereafter
- Installment Amount *: Rs. ________
- Enrolment Period: To
- Type: SB / CA / CC / SB-NRE / SB-NRO / Other

DEBIT MANDATE FORM NACH

Name of the Scheme / (Please Mention) JM Financial Mutual Fund

Plan (Please tick) Direct
Option (Plurality) Payout
Sub-Option (Please tick in case of DCW) Reinvestment

Frequency - Weekly (Please tick)
- Monthly*** (Please tick)
- Quarterly (Please tick)

Installment Amount *: Rs. ________
Enrolment Period: From To

In case of any ambiguity, AMC is at its discretion to either allot units as per Demat information or in physical mode. Kindly refer Statement of Additional Information and Scheme Information Document for details.

DEMAT ACCOUNT DETAILS

Please ensure that the sequence of names as mentioned in the application form matches with that of the Demat Account holder with your Depository Participant.

I/We hereby apply for the following supporting documents evidencing the accuracy of the demat account details mentioned below. Bank details as per DP's records will overwrite the existing bank details in the folio.

- National Security Depository Limited (NSDL)
- Central Depository Services (India) Limited (CDSL)
- Central Depository Services (India) Limited (CDSL)

Depositary Participant's Name: 

DP ID No. IN: 
Beneficiary Account No.: 
Target ID No.: 

DECLARATION & SIGNATURES

Please strike out whichever is not applicable.

Applicable for SIP investors only: We hereby declare that the particulars given above are correct and express my/our willingness to make payments referred above through participation in NACH (Direct Debit or Standing Instruction Clearance). If the transaction is delayed or not effected at all, for reasons of incomplete or incorrect information on my/our part or circumstances beyond the control of AMC/its service provider, I/We would not hold the Asset Management Company responsible in any manner. I/We hereby authorize JM Financial Mutual Fund and their authorized service providers, to get my/our above bank account debited by NACH / Direct Debit / Standing Instruction towards the collection of monthly/quarterly payments on due SIP dates as opted by me/us. In the event of any changes in the bank particulars, I/We will submit a fresh mandate along with a cancellation request for the earlier mandate well in advance. I/We have read and agreed to the terms and conditions mentioned in JM Financial Mutual Fund's Scheme Information Document of the scheme. * "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us". Consent for sharing Information: I/We hereby consent to the disclosure/sharing of my/our personal information to the Judicial /Statutory / Regulatory Authorities for the compliance of legal obligation of JM Financial AMC/JM Financial Mutual Fund/JM Financial Trustee Co. Pvt. Ltd. I/We also consent to the sharing of the transaction feed of my/our Investment in the above Scheme of JM Financial Mutual Fund with the Registered Investment Advisor (RIA)/Distributor whose RIA/ARN Code is mentioned above.

Signature of Sole/First Applicant/Guardian: 
Signature of Second Applicant: 
Signature of Third Applicant: 

REGISTRATION FORM FOR SYSTEMATIC INVESTMENT PLAN WITH (NACH FORM) AFTER INITIAL PURCHASE/RENEWAL OF SIP

DEBIT MANDATE FORM NACH

UMRN: 
Utility Code: ICIC00261000001992

Date: 
Create: 
Modify: 
Cancel: 

bank a/c number: 

Debit Type: Direct
Maximum Amount: 

Frequency: Monthly

As & when presented

Debit Type: Cheque Amount: 

Reference 1: 
Folio No.: Optional
Phone No.: 

Reference 2: 
Appin No.: Optional
Email ID: IN CAPITAL

PERIOD

From: 
To: 

or Until Cancelled

1. Name as in Bank Record 
2. Name as in Bank Record 
3. Name as in Bank Record

- This is to confirm that the declaration has been carefully read, understood & made by me/us. I/We are authorizing the user entity / corporate to debit my/our account.
- I/We have understood that I am/we are authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate or the bank where I/We have authorized the debit.
9. In case, any particular SIP due date falls on a non-business day or falls during a book closure period, the immediate next business day will be considered for the purpose of NAV application accordingly.
10. An investor will have to mandatorily abide by the following criteria with regard to Minimum Investment Amount and Minimum Number of Optional portfolios in case of special SIP:

<table>
<thead>
<tr>
<th>Frequency</th>
<th>Amount per Installment (Repos in whole Number)</th>
<th>Minimum Mandatory Installments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monthly</td>
<td>Rs. 100 to Rs. 999 per month</td>
<td>12 or more out of which (transfers/installments) must be effected within 8 calendar months after the Date of Application</td>
</tr>
<tr>
<td>Quarterly</td>
<td>Rs. 1000 or more per quarter</td>
<td>2 or more out of which 1 installment must be effected</td>
</tr>
</tbody>
</table>

*These conditions are to be fulfilled in addition to other conditions for each SIP cycle independently, failing which the investor will have to mandatorily abide by the above conditions and the SIP will automatically be invalid, as per the condition of the AMC regarding the discontinuation of the SIP. No two or more SIP plans can be clubbed to determine the fulfillment of Minimum Investment Criteria.

11. In order to be treated as a valid SIP application, minimum investment amount as per the Scheme Information Document of the respective scheme (e.g. Rs. 1000) should be received by the AMC as per details mentioned in the above table during the opted period. However, the SIP will be treated as discontinued as per the discontination of the AMC if AMC does not get the fund for any (one) free converter SIP installment due to any reasons directly attributable to investor by investor or his banker or by the AMC due to insufficiency of funds, instruments not drawn properly, payment stopped by investor or due to one time rejection with the reason like Bank Account Closed etc or minimum investment criteria is not met before the discontinuation due to the above or any other reason, anytime during the opted period.

12. In case of non-receipt of fund for the first installment for SIP installment itself due to dishonour of the cheque, the SIP will automatically be treated as discontinued ab initio. The AMC has the discretion to convert regular SIP to Special SIP as per the conversion condition.

13. All SIP Installments including the first one i.e. initial investment are required to be of the same amount failing which the investment will not be treated as a valid SIP investment and will be subject to the terms and conditions of normal investments.

14. In the event of any of the installment amount being different, the AMC will treat all SIP installments as normal investments and these will be subject to normal load and other provision as applicable on the respective dates of investments. In order to treat SIP Installments as normal investments, the AMC would be subject to ARA of load charges applicable on the respective dates of normal SIP Installments. In the event of non-payment of minimum submission criteria due to non-fulfillment of any of the other conditions of discontination of the SIP on the request by the investor, the AMC rights the investor to refund the entire amount of investment without any volumetric benefit on the respective dates of SIP Installments. In case of non-payment of less than the minimum amount, the AMC rights the investor to refund the above amount from the investor's account at the end of SIP period and render it to be invalid.

15. For Regular SIP, the 1st sip chequr/DD will be considered as Account Opening chequr for existing and new investors. Besides Auto Debit Form, the existing investor is required to submit the Common Application Form containing the existing folio number, opted Scheme/Plan/Option Name with opted SIP dates and amount. The remaining fields may only be filled if any updation/change is required.

16. To subscribe to SIP, an Investor has to submit the following documents:
   a. Scheme Application cum SIP Registration Form
   b. PAN card (exempted for Micro SIP)

17. As per SEBI guidelines and as per Rule 114 (B) of Income Tax Rules, 1962, it is mandatory for every/all the joint holders to submit verified copy of PAN Card of all the investors irrespective of the amount involved including while opening the SIP account. Even NIR investor is also required to submit the same. However, for Micro SIP, the submission of PAN Card copy has been exempted.

18. If the investor is in the name of a minor, the verified copy of PAN Card of the minor or his father or mother or legal guardian or representative is to be submitted along with the other documents.

19. The investor will not hold JH Financial Asset Management Pvt. Ltd., its Registration and other service providers responsible, if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specified SIP date due to various causes of closure.

20. The investor's agent's to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI) in case of SIP through ECS.

21. With the launch of Direct Plans effective from January 1, 2013, the tests of subsequent SIP Installments will be allotted under the corresponding option of the Direct Plan of the concerned Scheme if no Broker/AML code is apparent, against the respective SIP.
1. STP provides for transfer of specified amount from one scheme план/option in which the original investment is made to any other scheme план/option of JM Financial Mutual Fund, at the end of specified periodic interval viz., i. Daily (Chhota STP/Combo STP (on all business days)) ii. Weekly (i.e. 1st, 8th, 15th & 22nd) iii. Fortnightly (i.e. 1st and 15th) iv. Monthly (1st, 5th, 10th, 15th, 20th and 25th) or v. Quarterly (on 1st Business Day of the next month & subsequently on first of every quarter).

2. SWP provides for
   a. withdrawal of capital appreciation (Capital Appreciation Withdrawal (CAW)) over the opted period or
   b. withdrawal of specific amount (Fixed Amount Withdrawal) (FAW) by redemption from a scheme at the end of a specific interval.

   Under SWP, the frequency can be either
   a. Monthly (1st, 5th, 10th, 15th, 20th and 25th) or
   b. Quarterly (i.e. 1st Business Day of every quarter after the start)

3. In order to start the STP/SWP the investor must have investment equivalent to or more than the minimum Investment Amount (whichever is higher) in the scheme/plan/option concerned on the date of the last STP/SWP installment. However, the investor is not required to maintain the same balance after processing the Ist STP/SWP installment.

4. Minimum amount for transfer and available dates for STP are as under:

<table>
<thead>
<tr>
<th>Frequency</th>
<th>Amount per installment ($)</th>
<th>Starting dates during any month</th>
<th>Minimum mandatory installments (equal amount)</th>
<th>Minimum period required to start STP/SWP from the date of receipt of STP request</th>
<th>Revertal and recovery of load or redemption of load if following conditions are not met*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Daily (Chhota STP/Combo STP)</td>
<td>Rs. 100/- to Rs. 5,000/-</td>
<td>Any business day</td>
<td>0% of the minimum investment</td>
<td>0% of the minimum investment</td>
<td>In the event of non-fulfillment of any of the criteria i.e. minimum subscription or minimum number of installments or failure etc, the AMC/Registrar will revert all the previous installments and reprocess with the same with loads as applicable on the respective due date. Alternatively, the AMC may recover the amount of load waived/invoked given for all installments directly from the investor or by debit to his folio/s maintained with JM Financial Mutual Fund. In the event of non-fulfillment of minimum subscription criteria of the opted scheme, the AMC shall revert and refund by redeeming the outstanding units.</td>
</tr>
<tr>
<td>Weekly</td>
<td>Rs. 100/- to Rs. 5,000/-</td>
<td>1st, 8th, 15th, 22nd (after 22nd the next date will automatically be 1st of next month)</td>
<td>0% of the minimum investment</td>
<td>0% of the minimum investment</td>
<td>In the event of non-fulfillment of any of the criteria i.e. minimum subscription or minimum number of installments or failure etc, the AMC/Registrar will revert all the previous installments and reprocess with the same with loads as applicable on the respective due date. Alternatively, the AMC may recover the amount of load waived/invoked given for all installments directly from the investor or by debit to his folio/s maintained with JM Financial Mutual Fund. In the event of non-fulfillment of minimum subscription criteria of the opted scheme, the AMC shall revert and refund by redeeming the outstanding units.</td>
</tr>
<tr>
<td>Fortnightly</td>
<td>Rs. 100/- to Rs. 5,000/-</td>
<td>1st and 15th</td>
<td>0% of the minimum investment</td>
<td>0% of the minimum investment</td>
<td>In the event of non-fulfillment of any of the criteria i.e. minimum subscription or minimum number of installments or failure etc, the AMC/Registrar will revert all the previous installments and reprocess with the same with loads as applicable on the respective due date. Alternatively, the AMC may recover the amount of load waived/invoked given for all installments directly from the investor or by debit to his folio/s maintained with JM Financial Mutual Fund. In the event of non-fulfillment of minimum subscription criteria of the opted scheme, the AMC shall revert and refund by redeeming the outstanding units.</td>
</tr>
<tr>
<td>Monthly</td>
<td>Rs. 1,000/- to Rs. 5,000/-</td>
<td>1st, 5th, 10th, 15th, 20th &amp; 25th</td>
<td>0% of the minimum investment</td>
<td>0% of the minimum investment</td>
<td>In the event of non-fulfillment of any of the criteria i.e. minimum subscription or minimum number of installments or failure etc, the AMC/Registrar will revert all the previous installments and reprocess with the same with loads as applicable on the respective due date. Alternatively, the AMC may recover the amount of load waived/invoked given for all installments directly from the investor or by debit to his folio/s maintained with JM Financial Mutual Fund. In the event of non-fulfillment of minimum subscription criteria of the opted scheme, the AMC shall revert and refund by redeeming the outstanding units.</td>
</tr>
<tr>
<td>Quarterly</td>
<td>Rs. 3,000/- to Rs. 5,000/-</td>
<td>1st Business Day of the next month subject to the minimum gap of 15 calendar days from the date of receipt of STP request and subsequently after every quarter from the start month</td>
<td>0% of the minimum investment</td>
<td>0% of the minimum investment</td>
<td>In the event of non-fulfillment of any of the criteria i.e. minimum subscription or minimum number of installments or failure etc, the AMC/Registrar will revert all the previous installments and reprocess with the same with loads as applicable on the respective due date. Alternatively, the AMC may recover the amount of load waived/invoked given for all installments directly from the investor or by debit to his folio/s maintained with JM Financial Mutual Fund. In the event of non-fulfillment of minimum subscription criteria of the opted scheme, the AMC shall revert and refund by redeeming the outstanding units.</td>
</tr>
</tbody>
</table>

* Or in the event of failure of two consecutive STP installments, the STP request shall stand terminated and the investor will have to make a fresh application for availing of this facility subject to the current terms & conditions applicable for fresh STP cases.

5. In the event of non-fulfillment of any of the criteria i.e. minimum subscription or minimum number of installments or failure etc, the AMC/Registrar will revert all the previous installments and reprocess with the same with loads as applicable on the respective due date. Alternatively, the AMC may recover the amount of load waived/invoked given for all installments directly from the investor or by debit to his folio/s maintained with JM Financial Mutual Fund. In the event of non-fulfillment of minimum subscription criteria of the opted scheme, the AMC shall revert and refund by redeeming the outstanding units.

6. For SWP, a minimum of 15 calendar days time is required to start. In case of Quarterly SWP, the subsequent quarterly SWP installment will fall due after completion of 3 months from the start date.

7. In case, it is not possible for the AMC/Registrar to start the STP/SWP from the opted start date due to the insufficiency of time given by the investor, the AMC/Registrar will automatically process the first STP/SWP on the opted due date from the next month after the opted starting month i.e. In case investor applies for STP/SWP on 18th Aug, 2009 for electing Ist STP/SWP from 1st Sept, 2009, AMC/Registrar may process the same from Ist of Oct, 2009 due to insufficiency of time given. In such a case, the ending period will be extended automatically by another month.

8. Minimum amount for withdrawal under SWP is fixed as under:
   a. Fixed Amount Withdrawal (FAW) : Rs.1,000/- per month or Rs.3,000/- per quarter and Further in multiples of Rs.1/- thereafter.
   b. Capital Appreciation Withdrawal (CAW): Entire Capital Appreciation over the previous due date to current due date subject to a minimum of Rs. 100/- under monthly option and Rs. 100/- under quarterly option

9. In case the opted STP/SWP day falls on a non-business day, the next business day shall be deemed to be the transaction day for that month or quarter as the case may be.

10. Each installment under STP/SWP cannot exceed the original investment amount divided by the number of installment chosen subject the fulfillment of minimum STP/SWP criteria for respective frequency. In case of multiple STP/SWP dates, the total number of installments will be taken into account while fixing up the maximum installment amount.

General:  
1. In case, the investor does not mention the name of Plan, Options, Sub-Options, AMC/Registrar will allot the units as per default Plans/Options/Sub-Options.
2. JM Financial Asset Management Ltd., its Registrars and other service providers shall not be responsible and liable for any damage/compensation for any loss, damage, etc. incurred by the investor, in any manner. The investor assumes the entire risk of using this facility and takes full responsibility.
3. Please refer the Scheme Information Document(s) and Key Information Memorandum for other details, terms and conditions.